

IFS FIBAF 01/4/24

DURATION: - 2 ½ hr

42306424

Seat No:- _____
MARKS:- 75

Note: - (1) All questions are compulsory.

(2) Figures to the right indicate full marks

Q.1)A) **Select the appropriate option from the following: (Any 8)**

(CO1 to
CO4)(U&R) 8M

- 1) A _____ is defined as, "any Person who is engaged in the business of issue management."
a) Manager b) Insurer c) Factor d) Merchant Banker.
- 2) _____ is an example of fund based services.
a) Credit Rating b) Custodian Services c) Venture Capital d) Advisory Services.
- 3) _____ is one of characteristics of financial Series.
a) Tangibility b) Separability c) Rigidity d) Intangibility.
- 4) _____ is the market where dealing with financial assets and securities which have a short term maturity period.
a) Forex market b) Credit market c) Capital market d) Money Market.
- 5) A Certificate of registration from the _____ is mandatory to act as a broker.
a) RBI b) AFMI c) SEBI d) Ministry of Finance.
- 6) _____ is an entry which would buy the assets to be securitised from the Originator.
a) Special Price Vehicle b) Special Purpose Vehicle
c) Superior Purpose Vehicle d) Special Policy Vehicle.
- 7) Hire Purchase Price-Cash Price _____.
a) Interest b) Down Payment c) Discount d) Premium.
- 8) _____ Is a feature of venture capital.
a) Low risk b) Illiquid Investment
c) Short Term Investment d) Liquid Investment.
- 9) CRISIL Ltd, CARE Ltd, ICRA Ltd. Are some of the _____ in India.
a) Brokerage Firms b) Credit Rating Companies
c) Short Term Investment d) Liquid Investment.
- 10) _____ is not a source of Consumer Finance.
a) Stock Exchange b) NBFC c) Sales Finance Companies d) Commercial Banks.

Q.1B) **State whether the following statement are True Or False (Any 7)**

(CO1 TO
CO4)(R&U) 7M

- 1) The fund based services are also known as fee based services.
- 2) Under hire-Purchase the buyer gets ownership only after the payment of last instalment.
- 3) Stock broker means a member of a stock exchange.
- 4) Forwards are traded on a stock exchange.
- 5) Brokers to the issue is mandatory for public issues as per SEBI guidelines.

- 6) The venture capital is technology finance.
 7) Smart card technology is easy to duplicate or forge.
 8) Rating helps in recognizing the risk involved in the investment.
 9) CRISIL is the leading credit rating agency in the world.
 10) Financial services do not have Physical existence.
- Q.2) A) Define Financial Services. Explain any three examples of each type. (CO1)(U&N) 8M
 B) Distinguish between Banking and Non-Banking Companies. (CO1)(U) 7M
- OR**
- C) Describe the advantages of Factoring. (CO1)(R) 8M
 D) Explain the objectives of Financial service market. (CO1)(R) 7M
- Q.3) A) What is the meaning of merchant Bankers? Explain various categories of Merchant Bankers. (CO2)(U) 8M
 B) Describe advantages of Leasing. (CO3)(R) 7M
- OR**
- C) Explain meaning & classification of underwriters. (CO2)(U) 8M
 D) Elaborate types of venture capital Financing. (CO3)(R) 7M
- Q.4) A) Describe various source of consumer finance. (CO4)(U) 8M
 B) State advantages of Rating to investors and barriers. (CO4)(U) 7M
- OR**
- C) Explain security features of smart card. (CO4)(U) 8M
 D) Distinguish between Hire Purchase & Leasing. (CO3)(U) 7M
- Q.5) A) State merits & Demerits of forfaiting (CO1)(U) 8M
 B) Explain the various sources of Housing Finance. (CO3)(U) 7M
- OR**
- Q.5) Write a Short Note on : (Any three) (CO1-CO4)(U&R) 15 M
- 1) Constituents of Financial Services Market.
 - 2) Classification of NBFC.
 - 3) Types of Cards.
 - 4) Hire Purchase.
 - 5) Non-Fund based Services.

“Don’t sit down and wait for the opportunities to come. Get up and make it.”