

A . FC  
18

Q.P. Code : 30276

[Time: Two and half Hours]

[ Marks:75]

Please check whether you have got the right question paper.

- N.B: 1. Attempt all questions  
2. Figures to the right indicate full marks.

Q 1 A) Choose the correct option (Any 8)

1. \_\_\_\_\_ deals with short term claims with a maturity of less than one year  
(Money market, Primary Market, Secondary Market, Capital Market)
2. The Central Co-operative Bank works at the \_\_\_\_\_ level.  
(Base, first, Co-operative, Apex)
3. The Central Bank Functions in India as performed by the \_\_\_\_\_.  
(Central Bank of India, Reserve Bank of India, State Bank of India)
4. A \_\_\_\_\_ is when a company issues its existing shareholders a right to buy additional shares in the company.  
(Right issue, sweat equity, ESOP, Book Building)
5. The interests (coupons) on corporation bonds are \_\_\_\_\_.  
(Taxable, Non taxable, Interest Deducted, Variated)
6. The \_\_\_\_\_ interest rate derivatives that were introduced recently are debt instruments.  
(Debt, Exchange traded, Capital Market, Treasury bills)
7. A capital is raised by issue of shares and ploughing back of profits.  
(owned capital, borrowed capital, fixed capital, floating capital)
8. Category one merchant bankers Act as \_\_\_\_\_.  
(only as advisor, underwriter, consultant, all issue management function)
9. \_\_\_\_\_ controls the money market.  
(RBI, SBI, DFHI, IDBI)
10. NBFC stands for \_\_\_\_\_.  
(non-banking finance companies, non-banking financial corporation, non-bulk finance companies, none of the above )

B) Match the column (Any 7)

'A'		'B'	
a)	Ordinary shares	i)	Leasing
b)	Commercial paper	ii)	Equity shares
c)	Fund based	iii)	Unsecured short term promissory notes
d)	Derivative	iv)	Investor protection
e)	SEBI	v)	Book entry
f)	Registered Bonds	vi)	Hedging
g)	IPO	vii)	1935
h)	Banks	viii)	Fresh issue
i)	RBI	ix)	Capital and money market
j)	Financial Market	x)	Financial intermediaries

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- Q 2 A) What is the role and importance of financial system? 8  
B) Explain characteristics of financial services. 7  
OR  
C) Explain how mutual funds acts as an intermediary 15
- Q 3 A) What is capital market and explain its instruments. 8  
B) Explain problems of Indian primary market. 7  
OR  
C) What is corporate bond? Explain its merits and demerits. 15
- Q 4 A) Explain sources of capital in an organisation. 8  
B) Explain features of bonds. 7  
OR  
C) Explain various money market instruments. 15
- Q 5 A) Explain mutual fund and its features. 8  
B) Explain problems of merchant bankers. 7  
OR  
C) Write short notes (any 3) 15  
1) Credit cards  
2) Commercial papers  
3) Call money  
4) DRIPS  
5) Bankers acceptance

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