

S4BCBI (IV) / 100 / 68

Q.P. Code :34626

[Time: 2:30 Hours]

[Marks:75]

Please check whether you have got the right question paper.

- N.B:
1. All questions are compulsory.
 2. Figures to the right indicate full marks.

Q.1 a) State whether True or False (any 8)

1. One Person Companies were introduced by the Companies Act, 2013.
2. The accounts of SEBI are audited by the Comptroller and Auditor General of India.
3. The maximum number of members of a public limited company are 200.
4. Options and swaps are not examples of derivatives.
5. Capitalisation of reserve is called Bonus issue.
6. The offer document must not be accompanied by the application form.
7. The SEBI enjoys the powers of a civil court.
8. Stamp duty provisions are same in the case of Demat transactions.
9. Checking availability of name is essential before the process of Incorporation.
10. Registration certificates are compulsory for intermediaries.

08

b) Match the following (any 7)

Group A	Group B
1. Ashbury Railway Carriage case	a) Government publication
2. Memorandum of Association	b) Replacement
3. Developing markets	c) 1992
4. Depositories Act	d) Electronic form
5. Fungibility	e) Market makers
6. SEBI	f) 1996
7. De-mat	g) Object clause
8. Official Gazette	h) Doctrine of ultra vires
9. Right issue	i) 1956
10. SCRA	j) Existing shareholders

07

Q.2 Explain the salient features of company form of organization.

OR

- a) Introduce the SEBI act and enumerate its objects.
- b) What are the provisions regarding Finance, Accounts and audit of SEBI? Explain briefly.

15

08

07

Q.3 Write a detailed note on power of function of SEBI.

OR

- a) Write a note on application and grant for recognition on a stock exchange.
- b) Write a note on the advantages of listing

15

08

07

Q.4 Explain the constituents and working of Depositories.

OR

- a) Explain the power of depositories to make bye-laws.
- b) Write a note on NSDL.

15

08

07

Q.P. Code :34626

- Q.5 a) Write a detailed note on-
- i) Ultra virus
 - ii) Indoor Management
 - iii) constructive notice
- OR**

15

- Q.5 b) Write Short notes on (Any 3)
- 1. One person company
 - 2. Underwriting
 - 3. Articles of Association
 - 4. Benefits of depositories to Investors
 - 5. Registration certificate for intermediates

15
