

SXBBI / Corporate Law (04)

D412A23CSL

Seat Number: - _____

Duration: 2 1/2 Hrs

Marks: - 75

Note:-

- 1) All Questions are compulsory
- 2) Figures to the right indicate maximum marks.

Q1. A) Fill in the Blanks (Any 8 out of 10)

(8)

- 1) The doctrine of Indoor management is a _____ of doctrine of constructive notice. {CO1 (R)}
- 2) _____ refer to permanent removal of securities of a listed to from stock exchange. {CO2 (U)}
- 3) Depositories system is also known as _____ {CO1 (R)}
- 4) Ultra vires loan granted by the company are _____ {CO2 (A)}
- 5) Private placement of issue is considered as _____ {CO1 (U)}
- 6) _____ section deals with the punishment for fraudulently inducing person to invest Money. {CO2 (A)}
- 7) _____ provides for standard of disclosure in public issue of capital. {CO2 (R)}
- 8) Chairman of the SEBI is appointed for _____ {CO1 (R)}
- 9) SEBI has _____ regional offices. {CO2 (A)}
- 10) Cease & desist order proceedings is under section _____ of SEBI Act. {CO1 (R)}

Q1. B) Multiple Choice (Any 7 out of 10)

(7)

- 1) Which one of the following is NOT a characteristic of a company? {CO1 (R)}
(Huge Capital, Share Transferability, Capacity to file cases, Public seal)
- 2) In the MOA there are 6 clauses, we can alter all clauses except one clause which is that clause. {CO2 (U)}
(Object clause, Name clause, Association clause, registered clause)
- 3) According to section 48 of Co's Act 2013 the right attached to the shares of any class can be _____ {CO1 (A)}
(1/3rd, 1/2nd, 1/4th, 3/4th)
- 4) When two or more existing companies are liquidated and a new company is formed is _____ {CO2 (R)}
(Adsorption, amalgamation, merger, Reconstruction)
- 5) Bull and _____ are speculators. {CO1 (U)}
(Bear, Cow, Ox, Loin)
- 6) Who has provided model agreement to depository participants for warehouse receipts of Commodities? {CO1 (A)}
(SEBI, FMC, Depositories, RBI)
- 7) Which of the following is not a part of primary market? {CO1 (U)}
(Stock Exchange, Registrar & Transfer Agents, Merchant Banker, Mutual Funds)

8) Who appoints chairman of SEBI? {CO2 (R)}

(Central Govt., State Govt., Governor, Registrar of Companies)

9) Which of the following words does not belong to the stock exchange? {CO1 (U)}

(NAV, NSE, IPO, KPO)

10) Which company among the following would be buying share in IDBI Bank? {CO2 (R)}

(LIC, ONGC, SEBI, RBI)

Q2. a) Illustrate with examples types of Companies? {CO1 (A)} (15)

OR

a) Interpret the term private placement {CO1 (U)} (8)

b) Describe in details Sweat Equity Share {CO1 (R)} (7)

Q3. a) Explain the listing agreements & documents required for listing {CO1 (U)} (15)

OR

a) Write a note on the back ground and objects of SCRA 1956 {CO1 (R)} (8)

b) State the provision regarding withdrawal of recognition of Stock Exchange?
{CO1 (R)} (7)

Q4. a) Explain the constitution & management of SEBI? {CO2 (U)} (15)

OR

a) Identify the provision relating to appeal against order of SEBI? {CO2 (A)} (8)

b) SEBI has power to issue direction & other investigation – Discuss? {CO2 (U)} (7)

Q5. a) Summarize Eligibility norms for companies issuing securities? {CO2 (U)} (15)

OR

a) Design working of depository in India? {CO2(C)} (8)

b) Explain the terms BSDA {CO2 (U)} (7)

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